methods are only applicable to the segmentation in perspective view, and accu- $^{034}$ rate transformation from perspective to top-view is still a challenge. The camera  $^{035}$  with the release of large-scale 3D LiDAR semantic segmentation datasets (Se- $^{037}$ manticKITTI [4] and nuScenes-LidarSeg [5]), the LiDAR-based semantic seg-  $^{038}$ mentation performance has been significantly increased. Because these datasets  $^{039}$ only provide point level semantic labels, most works only perform sparse seman tic segmentation and predict point-wise semantic classes. The obtained sparse  $\frac{041}{100}$ results still need further processing before used. Therefore, some works conduct  $^{042}$ dense top-view semantic segmentation with sparse inputs. Compared with sparse  $^{043}$ predictions, the dense top-view segmentation results are more valuable for some  $^{044}$ A Cylindrical Convolution Network for Dense Top-View Semantic Segmentation with LiDAR Point Clouds Anonymous ACCV 2022 Submission Paper ID 1011 Abstract. Accurate semantic scene understanding of the surrounding environment is a challenge for autonomous driving systems. Recent LiDARbased semantic segmentation methods mainly focus on predicting pointwise semantic classes, which cannot be directly used before the further densification process. In this paper, we propose a cylindrical convolution network for dense semantic understanding in the top-view LiDAR data representation. 3D LiDAR point clouds are divided into cylindrical partitions before feeding to the network, where semantic segmentation is conducted in the cylindrical representation. Then a cylinder-to-BEV transformation module is introduced to obtain sparse semantic feature maps in the top view. In the end, we propose a modified encoder-decoder network to get the dense semantic estimations. Experimental results on the SemanticKITTI and nuScenes-LidarSeg datasets show that our method outperforms the state-of-the-art methods with a large margin. 1 Introduction Semantic perception of the surrounding environments is important for autonomous driving systems. In order to achieve reliable semantic estimations in top-view representation, autonomous vehicles are usually equipped with camera and LiDAR sensors. Benefiting from the rapid development of convolutional neural networks (CNNs), a large number of camera-based semantic segmentation networks, like Fully Convolutional Network (FCN) [1], ERFNet [2], U-Net [3], etc., have been proposed and proved to be effective. However, most of these sensor lacks effective geometric perception of the environment. In recent years,

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 upper-level tasks such as the navigation and path planning of autonomous driv-045 ing vehicles.

 In this paper, we focus on the dense semantic segmentation of LiDAR point  $^{047}$ clouds in top-view representation. Compared with 2D camera images, the 3D  $^{048}$ LiDAR data can retain precise and complete spatial geometric information of the <sup>049</sup> surroundings. Therefore, we can generate accurate top-view maps in a simpler way. However, the main problem is that the LiDAR data is sparsely distributed, <sup>051</sup> and the generated top-view maps are sparse. In order to deal with the sparsity <sup>052</sup> 053 of 3D LiDAR data, some LiDAR-based segmentation approaches [6] project the 3D point clouds onto 2D bird's-eye-view  $(BEV<sup>1</sup>)$  images, and conduct dense  $054$ semantic segmentation with 2D convolution networks. However, the projection process inevitably leads to a certain degree of information loss. Some methods 056 [7-9] use pillar-level representation and point-wise convolution to retain and 057 obtain more information in the height direction. These approaches still focus 058 more on 2D convolution, neglecting the rich geometric relationshipss between precise 3D point cloud data.

 To solve the problems mentioned above, we make use of the cylinder repre- $061$ sentation and 3D sparse convolution networks in our work. Compared with 2D  $^{062}$ images or pillar representation, the cylinder representation can maintain the 3D  $^{063}$ geometric information. The cylindrical partition divides the LiDAR point cloud  $^{064}$ dynamically according to the distances in cylindrical coordinates, and provides a more balanced distribution than 3D voxelization. The 3D sparse convolution networks can effectively integrate the geometric relationships of LiDAR point clouds, extract informative 3D features and save significant memory at the same time.

 After the 3D sparse convolution networks, we introduce a cylinder-to-BEV  $^{070}$ module to convert the obtained semantic features in cylindrical representation to  $^{071}$ BEV maps. The cylinder-to-BEV module uses the coordinate information of 3D points to establish corresponding relationships, and transfers features between the two representations. The transformed feature maps in top-view are sparse,  $074$ so we further propose a modified U-Net network to get the final dense segmentation results. We use groups of dilated convolutions with different receptive field sizes in different stages of downsampling and upsampling to capture more descriptive spatial features, and use grouped convolutions to reduce the FLOPs while maintaining an acceptable level of accuracy.

The main contributions of this work lie in three aspects:

- • We propose an end-to-end cylindrical convolution network that can generate accurate semantic segmentation results in top-view. The combination of cylinder representation and 3D sparse convolution greatly improves the segmentation performance.
- $\bullet\,$  We propose a cylinder-to-BEV module and a modified U-Net to efficiently  $_{086}$  use 3D features to enhance the dense semantic segmentation in top-view.
- <sup>1</sup> BEV is another expression for top view.

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 • The proposed method outperforms the state-of-the-art methods on the Se-090 manticKITTI and nuScenes-LidarSeg datasets, which demonstrates the ef- 091 encoder for further encoding the features in BEV and a semantic head for label  $^{104}$ classification [10–12]. Thomas Roddick *et al.* [13] chose feature pyramid networks  $^{105}$  projection and the cycled view transformer in order to enrich the features getting  $^{108}$  fectiveness of the model. 2 Related Work 2.1 Image Semantic Segmentation in Bird's Eye View Understanding the surrounding environment is an essential part of an autonomous driving system. To accomplish this, many previous works created a semantic map in Bird's Eye View(BEV) that can distinguish drivable regions, sidewalks, cars, bicycles and so on [10–14]. Image semantic segmentation in BEV usually consists of following components: an encoder for encoding features in the image view, a view transformer for converting the features from the image view to BEV, an like in [15] when extracting the image-view features. Weixiang Yang et al. [16] implemented cross-view transformation module that consists of the cycled view from front-view image.

 

### 2.2 3D Data Representation

 Since the recent launch of large-scale datasets, such as SemanticKITTI [4] and nuScenes [5], there have been an increasing number of studies on semantic segmentation of LiDAR point clouds. However, due to the sparse, irregular, and disorderly LiDAR point cloud data, it is still challenging to be processed and applied to semantic segmentation. The methods are mostly divided into three branches: point-based methods, grid-based methods, and projection-based methods.

 Point-based methods directly process raw LiDAR point clouds. PointNet  $[17]$ <sup>120</sup> boost processing speed and local spatial encoding and attention-based pooling. <sup>125</sup> KPConv [20] develops spatial kernels to adapt convolution operations to point  $^{126}$ clouds. However, due to computational complexity and memory requirements,  $^{127}$  is a pioneer and a representative of point-based approaches, which learns features for each point using shared MLPs. PointNet $++$  [18], an upgrade to PointNet, generates point cloud subsets by clustering and employs PointNet to extract point features from each subset. RandLA-Net [19] uses a random sampling to the performance on large-scale LiDAR point cloud datasets is limited.

 Grid-based methods convert point clouds into uniform voxels, after which, <sup>129</sup> 3D convolution can be employed on voxel data. In VoxelNet [21], point clouds are <sup>130</sup> quantized into uniform 3D volumetric grids, which maintains the 3D geometric <sup>131</sup> information. Fully convolutional point networks [22] achieve uniform sampling  $^{132}$ in 3D space by collecting a fixed number of points around each sampled site and  $^{133}$ then apply a U-Net to extract information from multiple scales. Cyliner3D [23] <sup>134</sup>

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135 136 recommends dividing the original point cloud into cylindrical grids to distribute 135 136 the point clouds more evenly throughout the grids.

137 138 139 140 141 142 143 144 Furthermore, projection-based methods project 3D point clouds onto dif-137 ferent 2D images. SqueezeSeg series [24, 25], RangeNet series [26] and Salsanet 138 series [6,27] deploy the spherical projection on the LiDAR data. In VoloMap [28] 139 and PolarNet [29], bird-eye view (BEV) and polar BEV projections on LiDAR  $_{140}$ points are proposed respectively. What's more, PointPillar series [7, 30, 31] split  $_{141}$ the point clouds into a group of pillars and project them onto a pseudo image. 142 Projection-based methods can attain real-time performance, but their accuracy  $_{143}$ 144 is often limited due to the information loss of projection.

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## 2.3 Semantic Segmentation of LiDAR Input

148 149 150 151 152 153 154 155 156 Many approaches take point clouds as input and return point-wise semantic  $^{148}$ 149 Other methods convert the input of point clouds into different forms and finally  $^{150}$ produce semantic voxel grids or semantic projected images. Voxelnet [21] and  $^{151}$ SegCloud [32] employ 3D fully convolutional networks on voxels to assign a  $^{152}$ class label to each grid, which is shared by all points in the grid. RangeNet $++$ <sup>153</sup> [26], a typical method based on Range-View, converts point cloud to a range  $^{154}$ image and performs semantic segmentation of the image using an hourglass fully <sup>155</sup> 156 labels as output, such as PointNet  $[17]$ , PointNet++  $[18]$  and KPConv  $[20]$ . convolution network.

157 158 159 160 161 162 163 164 What's more, some approaches achieve top-view semantic segmentation after  $157$ 158 projecting the point cloud into a BEV image [8,33–35]. Bieder et. al [33] turn 3D LiDAR data into a multi-layer top-view map for accurate semantic segmentation. <sup>159</sup> Following this route, PillarSegNet [8] is proposed to learn features from the pillar 160 encoding and conduct 2D dense semantic segmentation in the top view. These  $161$ methods take LiDAR point cloud as input and generates dense top-view semantic 162 grid maps, which provide a fine-grained semantic understanding that is necessary 163 164 for distinguishing drivable and non-drivable areas.

165 166

## 3 Proposed Method

167 168 169

In this section, we introduce the architecture of the proposed dense top-view  $_{169}$ semantic segmentation method, as illustrated in Fig. 1. The whole network consists of three parts, a 3D cylindrical encoding, a cylinder-to-BEV module, and  $_{171}$ a 2D encoder-decoder network. The network takes sparse a LiDAR point cloud  $_{172}$ as input and generates dense semantic maps in top-view. The design of each  $_{173}$ 174 175 module will be detailed in the following.

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## 3.1 3D Cylindrical Encoding

178 179 Effectively extracting the features of 3D point cloud data is an important part of  $178$ the LiDAR-based semantic segmentation. Previous methods usually convert the  $^{179}$ 

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a 3D LiDAR point cloud, the network first divides it into cylindrical partitions and ap plies a 3D sparse convolution module to obtain high-level features. Then, the cylinder- $_{188}$  to-BEV module converts the semantic features in cylindrical representation to BEV maps. Finally, a modified U-Net is used to predict the dense top-view semantic segmentation results.

 

 

(1)

 

 3D LiDAR point cloud into voxels [21] or pillar features [8]. The voxel represen- 193 tation quantizes the point cloud into uniform cubic voxels. However, the LiDAR 194 data is irregular and unstructured. This leads to a large number of empty voxels 195 and affects the computational efficiency. The pillar-based method uses MLPs to 196 extract features, which cannot make full use of the 3D topology and rich spatial 197 geometric relationships.

 Based on these considerations, we apply cylindrical coordinates to represent 199 LiDAR data in this paper. The density of the 3D LiDAR point cloud usually  $_{200}$ varies, and the density in nearby areas is significantly higher than that in remote  $_{201}$ areas. Uniformly dividing the LiDAR data with different densities will lead to  $_{202}$ an unbalanced distribution of points. The cylindrical partitions can cover areas <sub>203</sub> with different size of grids, which grow by distance, evenly distribute points  $_{204}$ on different cylindrical grids, and provide a more balanced representation. The  $_{205}$  cylindrical coordinate system is defined as follows,

 

 

$$
\begin{cases}\n\rho = \sqrt{x^2 + y^2} \\
\theta = \arctan(y, x)\n\end{cases}
$$

 $\sqrt{ }$ 

$$
\begin{cases}\n\theta = \arctan(y, x) \\
z = z\n\end{cases}
$$
\n(1) <sub>209</sub>\n(2)

 where  $(x, y, z)$  represents the Cartesian coordinate, and  $(\rho, \theta, z)$  represents its corresponding cylindrical coordinate. The radius  $\rho$  and tangent angle  $\theta$  denote the distance from the origin in the  $x-y$  plane and the tangent angle between the  $y$  and  $x$  directions, respectively.

 Compared with the voxel representation, although the number of empty elements is reduced, the cylindrical representation of LiDAR data is still sparse. Therefore, we apply a 3D sparse convolution network to extract features, which can efficiently process sparse data and increase the computing speed. More details of the network can be referred in [23].

 

## 3.2 Cylinder-to-BEV Module

 After the 3D feature encoding module, we can obtain high-level features with <sup>223</sup> rich semantic information in the form of cylindrical representation. Since the <sup>224</sup>

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 goal is to predict dense semantic categories in top-view, we need to convert the cylindrical features into BEV maps before the 2D semantic segmentation module.

 Figure 2 shows two types of transformations, without and with point guidance. Without point guidance means that we use the correspondence between  $_{229}$ the cylindrical coordinate system and the BEV coordinate system to directly 230 convert the features. However, the cylindrical grid is different from the BEV  $_{231}$ grid, which can lead to deviation problems at the boundaries. As shown in the 232 left of Fig.2, the cylindrical grid and the BEV grid have different shapes, in  $_{233}$ which the yellow denotes the cylindrical grid with features, and the purple rep-  $_{234}$ resents the empty cylindrical grid without features. One BEV grid overlaps with  $_{235}$ two cylindrical grids. Transforming directly from cylinder to BEV may establish  $_{236}$ correspondence between the purple cylindrical grid and the BEV grid instead  $_{237}$ of the yellow one, resulting in loss of information. The right of Fig.2 shows the  $_{238}$ transformation with point guidance. The cylindrical features are first converted  $_{239}$ to point features according to Eq. 1. Then, the point features are converted  $_{240}$ to BEV features according to Eq. 2. Using point features as intermediate can  $_{241}$  preserve more useful features and cover the BEV grids more completely.



 ance. Left shows the transformation without point guidance, in which the yellow denotes cylindrical grid with features, and the purple represents empty cylindrical grid without features. Right shows the transformation with point guidance, the point serves as intermediate to connect the cylinder and BEV grids.

 

The transformation from point to BEV grid is described bellow. Given a point  $(x, y, z)$  and the feature f, its corresponding coordinates in BEV are calculated  $260$  as,

$$
\int u = x/precision + W/2
$$

$$
\begin{cases}\n x & \text{if } p \text{ 1} \text{ 1} \\
 v = y/precision + H/2\n\end{cases}
$$
\n(2) 263  
\n264\n(3) 264

 where  $(u, v)$  represents the corresponding point in BEV, *precision* denotes the  $^{265}$  resolution of BEV. W and  $H$  represent the width and height of the BEV map, respectively.

 When converting point features to BEV features, a lot of geometric informa-  $^{268}$ tion may be lost due to the many-to-one problem. Different from some methods  $^{269}$ 

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 that use maximum compression and retain only one point feature, we sort the 270 points corresponding to the same BEV grid by height, and retain the features of the highest and lowest points. Therefore, the features of each BEV grid are as follows,

$$
F_{bev} = (r_l, x_l, y_l, z_l, f_l, r_h, x_h, y_h, z_h, f_h)
$$
\n(3) 274

 where r denotes the distance and the subscripts  $l$  and  $h$  represent the lowest and highest points, respectively. The features  $(z_h, f_h, z_l, f_l)$  of the highest and lowest points can provide the height range and spatial features of each BEV grid. For example, the spatial features of the highest and lowest points for roads, vehicles, and pedestrians vary greatly, which is very useful in determining the semantic categories.

## 3.3 2D Semantic Segmentation

 structure and added various convolution designs, including dilated convolution,  $\frac{288}{288}$  After the cylinder-to-BEV process, we can get sparse BEV maps with rich semantic information. In this section, we will introduce the 2D semantic segmentation that is used to densify the semantic predictions. The network is based on U-Net depth-wise convolution, inverse bottleneck, etc., as shown in Fig.3.

 Encoder-Decoder Architecture. Building upon the U-Net framework, we use convolutional blocks in both encoder and decoder, supplemented by appropriate design, to make the network more suitable for the LiDAR-based semantic segmentation task. As a characteristic of U-Net, skip-connection is also used to improve image segmentation accuracy by fusing low-level and high-level features. Considering the computational overhead, we use a separate downsampling layer and a pixel-shuffle layer instead of transpose convolution in the upsampling part.

 that of the input and output layers. We implement depth-wise convolution and Depth-wise Convolution and Inverse Bottleneck. Depth-wise convolution is adapted from grouped convolution, in which the number of groups equals the number of channels. The advantage is that it greatly reduces the floatingpoint operations while maintaining an acceptable level of accuracy. An important design of blocks in Transformer [36], MobileNetV2 [37] and ConvNet [38] is the inverse bottleneck. The dimension of the intermediate hidden layer is larger than combine it with two  $1\times1$  convolutions to form an inverse bottleneck. As shown in Fig. 3, we combine these two designs and apply them as the basic block whose color is pink.

 Dilated Convolution Groups. Unlike increasing the size of the convolu- 306 tion provides a cost-effective way to extract more descriptive features. Because different stages of U-Net have different scales of information, we use convolution groups with different receptive field sizes for each stage in downsampling and upsampling as shown in Fig. 3. In each group, a  $1 \times 1$  convolution is used to  $311$ extract the spatial information from different receptive fields after concatenat ing the outputs of each dilated convolution. Meanwhile, a dropout layer and a <sup>313</sup> pooling layer are added at the end. Following Transformer [36], the number of tion kernel, which greatly increases the number of parameters, dilated convolu-

 

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Fig. 3. Flowchart of the proposed modified U-Net.  $k, d, s, bn$ , and  $\times$  represent the 332 kernel size, dilation rate, stride, batch normalization, and block numbers, respectively. 333 Blocks of different colors represent convolutional layers of different structures. Among  $_{334}$ them, the light blue blocks represent ordinary convolution, dropout, and normalization 335 blocks. The pink blocks represent a designed convolution block, which is the base block of each convolution layer.

 convolution blocks in different stages of downsampling and upsampling is adjusted to (3,3,9,3). As seen in the Fig. 3, the blue block represents a convolution group consisting of 3 convolution blocks, and the cyan block represents a convolution group consisting of 9 convolution blocks.

 larly, we use fewer normalization layers and replace BatchNorm with LayerNorm,  $\frac{346}{346}$  In addition, we use GeLU activation function instead of ReLU in the basic block, and reduce the number of activation functions used in each block. Simias in Transformer.

#### 3.4 Loss Function.

 

The unbalanced data distribution in the dataset can make model training dif-  $_{352}$ ficult. Especially for the classes with fewer samples, the network predicts them with a lower frequency than that of the classes with more samples. To solve this problem, we use the weighted cross-entropy loss function, whose weight is equal  $_{355}$  to the inverse square root of the frequency of each class, as shown below:

$$
L_{wce}(y,\hat{y}) = -\sum_{i=1}^{n} \lambda_i p(y_i) log(p(\hat{y}_i))
$$
\n(4)  $\frac{^{358}}{^{359}}$ 

 

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 where *n* denotes the number of classes,  $y_i$  and  $\hat{y_i}$  represent the ground truth and 360 the prediction, respectively.  $\lambda_i = 1/\sqrt{f_i}$  and  $f_i$  denotes the frequency of the  $i^{th}$  361 class.

In addition, we also incorporate the Lovász-Softmax loss in the training pro- 363 cess. The Jaccard loss function is directly defined based on the Intersection over 364 Union (IoU) metric. However, it is discrete and its gradient cannot be calcu- 365 lated directly. In [39], the lovász extension is proposed, which is derivable and  $_{366}$ can be used as the loss function to guide the training process. Specifically, the  $_{367}$  Lovász-Softmax loss can be expressed as follows:

 

$$
L_{ls} = \frac{1}{|C|} \sum_{c \in C} \overline{\Delta_{J_c}}(m(c)),
$$
\n<sup>371</sup>

$$
\frac{371}{372}
$$

$$
m_i(c) = \begin{cases} 1 - x_i(c) & if \ c = y_i(c), \\ x(c) & otherwise \end{cases}
$$
 (9) 372

$$
\begin{cases}\n x_i(c) & \text{otherwise}\n\end{cases}
$$

 where C denotes the class number,  $\overline{\Delta_{J_c}}$  represents the lovász extension of the Jaccard index.  $x_i(c)$  and  $y_i(c)$  represent the predicted probability and the ground truth of pixel i for class c, respectively.

 The final loss function is a linear combination of the weighted cross-entropy loss and the Lovász-Softmax loss, as shown below:

$$
L = L_{wce} + L_{ls} \tag{6} \frac{381}{382}
$$

## 4 Experiments

 In order to evaluate the segmentation performance of the proposed network, we carry out experiments on SemanticKITTI [4] and nuScenes-LidarSeg [5] datasets <sup>387</sup> with raw LiDAR data, sparse semantic segmentation ground truths, and the 388 aggregated dense semantic segmentation ground truths. The experimental re-  $389$ sults show that our network achieves state-of-the-art performance in both Se- 390 manticKITTI and nuScenes-LidarSeg datasets.

#### 

#### 4.1 Datasets

 SemanticKITTI. The SemanticKITTI is a large-scale outdoor point cloud 395 dataset with precise pose information and semantic annotations of each LiDAR  $^{396}$ point. The training set consists of sequences 00-07 and 09-10, and the eval uation set consists of sequence 08, containing 19130 and 4071 LiDAR scans, 398 respectively. As in [8], we merge the 19 classes into 12 classes. Specifically, The <sup>399</sup> motorcyclist and bicyclist are merged to rider. The bicycle and motorcycle are <sup>400</sup> merged to two-wheel. The car, truck and other-vehicle are merged to vehicle. <sup>401</sup> The  $traffic-sign$ , pole and fence are merged to object. The other-ground and  $402$ parking are merged to other-ground. The unlabeled pixels are not considered in the training process.

$$
-9
$$

 

 

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 nuScenes-LidarSeg. The nuScenes-LidarSeg provides semantic annotations 405 for each LiDAR point in the 40,000 keyframes, marking a total of 1.4 bil-406 lion LiDAR points, including 32 classes. Similarly, we map the *adult*, *child*,  $policcofficer$ , and constructionworker to pedestrian, bendybus and rigidbus to  $408$ bus. These class labels for *barrier*, *car*, *constructionvehicle*, *truck*, *motorcycle*,  $\frac{409}{200}$  $trafficcone, trainer, driveablesurface, sidewalk, manmade, other flat, terrain \ _{410}$ and vegetation remain unchanged. The other classes are mapped to unlabeled.  $_{411}$ As a result, we merge 32 classes into 16 classes on the nuScenes-LidarSeg dataset.  $_{412}$ 

## 

 

# 4.2 Label Generation

 Sparse Label Generation. As described in [8], we project the 3D LiDAR  $_{416}$ point cloud onto the BEV grid map and perform weighted statistical analysis  $_{417}$ on the frequency of each class in each grid to obtain the most representative  $_{418}$ grid-wise semantic label. For each grid, the weighted calculation formula of its  $_{419}$  label  $c_i$  is defined as follows:

$$
c_i = argmax_{c \in [1, C]} (w_c n_{i, c}), \tag{7}
$$

 c, and  $n_{i,c}$  represents the number of points of class c in grid i. In addition, the <sup>424</sup> weights of the traffic participant classes, such as *person*, *rider*, *two-wheel*, and  $425$ *vehicle*, are chosen as 5. The weight of the *unlabeled* class is set as 0 and the  $^{426}$  where  $C$  is the number of the semantic classes,  $w_c$  denotes the weight for class weights of other classes are set as 1.

 **Dense Label Generation.** We use the precise pose information provided <sup>428</sup> by SemanticKITTI to aggregate consecutive LiDAR scans and generate dense  $^{429}$ top-view ground truths, which can provide fine-grained descriptions of the sur rounding environment. As in  $[8]$ , the neighboring LiDAR scans with a distance  $^{431}$ less than twice the farthest distance are selected as the supplement to the cur rent frame. Based on the provided poses, we transform the adjacent LiDAR point clouds to the coordinate system of the current scan, and then we can get  $^{434}$ dense aggregation following Eq. 7. In addition, to avoid confusion caused by overlapping, we only aggregate static objects and ignore moving objects.

## 4.3 Evaluation Metrics

To evaluate the performance of the proposed dense top-view semantic segmenta tion method, we apply the widely used intersection-over-union (IoU) and mean  $^{441}$  intersection-over-union (mIoU) in all classes, which are defined as follows:

$$
IoU_i = \frac{P_i \cap G_i}{P_i \cup G_i}, \quad mIoU = \frac{1}{C} \sum_{i=1}^{C} IoU_i,
$$
\n(8)  $^{444}_{445}$ 

 

 where  $P_i$  denotes the set of pixels whose predicted semantic labels are class i,  $G_i$ <sup>447</sup> represents the set of pixels whose corresponding ground truths are class  $i$ , and  $448$  C represents the total number of classes.

trunk terrain

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other-ground tation two-wheel sidewalk mIoU [%] ling  $\dot{a}$ vehicle person ■ rider road Mode Method г г  $\begin{array}{lllllll} \text{Bieder } et \; al. \; [33] \; & & \begin{array}{l} 39.8 \; | \; 69.7 \; \; 0.0 \; \; 0.0 \; \; 0.0 \; \; 85.8 \; \; 60.3 \; \; 25.9 \; \; 72.8 \; \; 15.1 \; \; 68.9 \; \; 9.9 \; \; 69.3 \\ 55.1 \; | \; 79.5 \; \; 15.8 \; \; 25.8 \; \; 51.8 \; \; 89.5 \; \; 70.0 \; \; 38.9 \;$ Pillar [8] 55.1 79.5 15.8 25.8 51.8 89.5 70.0 38.9 80.6 25.5 72.8 38.1 72.7 Sparse Train Pillar  $+$  Occ [8]  $55.3 \, 82.7 \, 20.3 \, 24.5 \, 51.3 \, 90.0 \, 71.2 \, 36.5 \, 81.3 \, 28.3 \, 70.4 \, 38.5 \, 69.0$ Sparse Eval  $Pillar + Occ + P$ Pillar + Occ + P  $=$   $\begin{bmatrix} 57.5 & 85.1 & 24.7 & 16.9 & 60.1 & 90.7 & 72.9 & 38.3 & 82.9 & 30.1 & 80.4 & 35.4 & 72.8 \ 511.8 & 16.9 & 16.7 & 85.9 & 24.2 & 18.3 & 57.6 & 91.3 & 74.2 & 39.2 & 82.4 & 29.0 & 80.6 & 38.0 & 72.9 \ \end{bmatrix}$ 57.8 85.9 24.2 18.3 57.6 91.3 74.2 39.2 82.4 29.0 80.6 38.0 72.9 Pillar + Occ + LGP [9]  $58.8$   $85.8$  34.2 26.8 58.5 91.3 74.0 38.1 82.2 28.7 79.5 35.7 71.3<br>Our  $67.989.559.752.774.192.776.236.585.837.583.350.675.7$  $\mid$ 67.9 $\mid$ 89.5 59.7 52.7 74.1 92.7 76.2  $\mid$  36.5 85.8 37.5 83.3 50.6 75.7 Bieder et al. [33] 32.8 43.3 0.0 0.0 0.0 84.3 51.4 22.9 54.7 10.8 51.0 6.3 68.6 Pillar [8] 37.5 45.1 0.0 0.1 3.3 82.7 57.5 29.7 64.6 14.0 58.5 25.5 68.9 Sparse Train Pillar + Occ $\left[8\right]$  $38.4\begin{array}{|l} 52.5 \end{array}$  0.0 0.2 3.0 **85.6** 60.1 29.8 **65.7** 16.1 56.7 26.2 64.5 Dense Eval Pillar + Occ + P Pillar + Occ + P  $\begin{bmatrix} 40.9 & 53.3 & 11.3 & 13.1 & 7.0 & 83.6 & 60.3 & 30.2 & 63.4 & 15.7 & 61.4 & 24.6 & 67.2 \\ 41.5 & 57.3 & 11.3 & 9.5 & 10.4 & 85.5 & 60.1 & 31.2 & 64.6 & 16.9 & 59.5 & 25.3 & 66.8 \end{bmatrix}$ 41.5  $57.3$  11.3 9.5 10.4 85.5 60.1 31.2 64.6 16.9 59.5 25.3 66.8 Pillar + Occ + LGP [9] 40.4 55.8 10.8 14.1 9.3 84.5 58.6 26.8 62.4 15.2 59.2 26.3 62.3 Our 38.5 53.1 21.2 26.4 4.8 72.8 52.3 22.1 52.1 20.0 47.8 31.5 57.2  $\left\{\begin{matrix} 42.8 \mid 70.3 & 5.4 & 6.0 & 8.0 & 8.9 & 65.7 & 34.0 & 65.9 & 16.3 & 61.2 & 23.5 & 67.9 \\ 44.1 \mid 72.8 & 7.4 & 4.7 & 10.2 & 90.1 & 66.2 & 32.4 & 67.8 & 17.4 & 63.1 & 27.6 & 69.2 \end{matrix}\right.$  $10.2$   $90.1$   $66.2$   $32.4$   $67.8$   $17.4$   $63.1$   $27.6$   $69.2$ Dense Train  $\begin{array}{l|ccccccccc} \text{Pillar} + \text{Occ} + \text{P} & & & 44.9 & 72.1 & 6.8 & 6.2 & 9.9 & 90.1 & 65.8 & 37.8 & 67.1 & 18.8 & \textbf{68.1} & 24.7 & \textbf{71.4} \\ \text{Pillar} + \text{Occ} + \text{LP} & & & 44.8 & 73.0 & 7.8 & 6.1 & 10.6 & 90.6 & 66.5 & 33.7 & 67.6 & 17.7 & 67.6 & 25.5 & 70.4 \\ \end{array}$ Dense Eval 44.8 73.0 7.8 6.1 10.6 90.6 66.5 33.7 67.6 17.7 67.6 25.5 70.4 Pillar + Occ + LGP [9] 44.5 **73.2** 6.5 6.5 9.5 90.8 **66.5 34.9** 68.0 18.8 67.0 22.8 70.0 Our 48.8 70.0 25.9 28.0 22.5 90.8 65.4 32.7 68.3 20.9 64.4 30.6 66.1

#### Table 1. Quantitative results on the SemanticKITTI dataset [4]

#### 4.4 Implementation Details

We deploy the proposed network on a server with a single NVIDIA Geforce RTX  $474$ 2080Ti-11GB GPU, running with PyTorch. The initial learning rate is 0.01, the <sup>475</sup> 476 epoch size is 30, and the batch size of 2.

477 478 479 480 481 482 483 484 485 486 487 488 489 In the preprocessing step, the input LiDAR point cloud is first cropped into  $477$  $[(-51.2, 51.2), (-51.2, 51.2), (-5.0, 3.0)]$  meters in the x, y, z directions, respec-  $^{478}$ tively. Then, the cropped data is divided into 3D representation  $\mathbb{R} \in 512 \times 360 \times 479$ 32 by cylindrical partition, where three dimensions represent radius, tangent  $^{480}$ angle, and height, respectively. After the 3D sparse convolution networks, the fea- $481$ tures are converted to a BEV map, covering the area of  $[(-51.2, 51.2), (-25.6, 25.6)]^{22}$ meters in the x, y directions. The size of the BEV map is  $B \times 48 \times 256 \times 512$ ,  $483$ representing batch size, feature channels, image height and width, respectively.  $484$ The resolution is  $[0.2, 0.2]$  meters. The final output of the network is the semantic  $485$ prediction result whose size is  $256 \times 512$ . Since the range of the semantic ground  $486$ truth is  $[(-50.0, 50.0), (-25.0, 25.0)]$  meters and the resolution is [0.1, 0.1], we 487 use linear interpolation to zoom in the network output, and then crop it to the 488 489 490 same size as the ground truth.

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## 4.5 Results on SemanticKITTI dataset

493 494 We use two training modes and two evaluation modes for dense top-view seman- $493$ tic segmentation, following [33]: Sparse Train and Sparse Eval, Sparse Train and <sup>494</sup>



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Table 2. Quantitative results on the nuScenes-LidarSeg dataset [5].



505 506 507 Dense Train, Dense Train and Dense Eval. Among them, Sparse Eval represents  $504$ using the sparse top-view semantic segmentation ground truth derived from a  $505$ single LiDAR scan, Dense Eval represents using the generated dense top-view  $506$ 507 ground truth.

508 509 510 511 512 513 514 515 516 517 Table 1 shows the quantitative comparison with other state-of-the-art meth-508 ods. The proposed method achieves a performance improvement of  $9.1\%$  over  $_{509}$ the current best result in the sparse evaluation mode, and 3.9% improvement  $\frac{510}{2}$ in the dense evaluation mode. In particular, our method greatly improves the  $\frac{1}{11}$ performance of classes with small spatial size, including *person*, two-wheel and  $_{512}$  $rider$ , and also performs well on other classes. In the sparse mode, the IoUs of  $_{513}$ these three classes are improved by  $25.5\%$ ,  $25.9\%$  and  $25.6\%$ , respectively. In  $_{514}$ the dense mode, they are increased by  $18.1\%$ ,  $21.8\%$  and  $21.9\%$ . This proves  $_{515}$ 516 the effectiveness of our method in semantic segmentation.

#### 4.6 Results on nuScenes-LidarSeg dataset

519 520 521 in Table 2, our network achieves better performance than other ones. The pro- $\frac{522}{522}$ 523 524 525 526 In addition to SemanticKITTI dataset, we also evaluate our method on the nuScenes-LidarSeg dataset for dense top-view semantic segmentation. As shown posed network obtains a 1.0% performance improvement over the state-of-theart method. Our method is superior in categories with sparse points, such as bicycle, motorcycle, pedestrian and cone. The IoU of car has been significantly improved by 11.2%.

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## 4.7 Ablation Studies

529 530 531 532 533 534 535 536 537 538 539 In this section, we conduct extensive ablation experiments to investigate the  $529$ effects of different components in our method. We create several variants of  $530$ our network to verify the contributions of each components Table 3 summarizes  $^{531}$ the semantic segmentation results on the Semantic KITTI evaluation dataset in <sup>532</sup> dense mode. The *Baseline* represents the method of using raw point features, <sup>533</sup> point-to-BEV projection and a simple encoder-decoder network with traditional  $^{534}$ convolution blocks. The *Cylinder* represents replacing point features with cylin- $535$ drical features and direct cylinder-to-BEV projection without point-guidance.  $536$ The Cylinder-to-BEV represents using cylinder-to-BEV projection with point  $537$ as intermediate. The  $Modified U-Net$  means using a 2D modified U-Net in the  $538$ 539 2D semantic segmentation part.

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**Table 3.** Ablation study on the SemanticKITTI dataset. All experiments are carried  $_{567}$  out in dense mode.



 

 The results in Table 3 show that when dealing with outdoor sparse point clouds, the cylindrical encoding is quite successful in gathering rich charac teristics from input data, and greatly improves the spatial feature extraction. <sup>577</sup> Compared with methods that ignore 3D information and convert LiDAR data to 2D representation directly, we focus on investigating the spatial geometric relationships of LiDAR points, thus achieving an improvement of 6.2%. The well-designed cylinder-to-BEV module selects key characters in each grid of the  $^{581}$ 2D top-view, and further increases the performance of 2.4%. The modified U-Net  $^{582}$ with dilated convolution, depth-wise convolution and inverse bottleneck can also bring a 1.3% performance improvement.

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Fig. 5. Qualitative results generated by our approach on the nuScenes dataset. From <sup>601</sup> left to right in each row, we display the input point cloud, the 2D occupancy map, the ground truth and the prediction from our method.

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4.8 Qualitative Analysis

 

 jects more accurately, such as pedestrians, bicycles, motorbikes, and riders. This <sup>611</sup> demonstrates that our method can effectively deal with outdoor, large-scale, <sup>612</sup> sparse, and density-varying 3D point cloud data, and improve the dense seman- As shown in Fig. 4 and Fig. 5, the proposed network can get an accurate semantic understanding of the surrounding environment. It can not only recognize large objects like roads, vehicles, and buildings, but also segment smaller obtic segmentation performance in the 2D top-view.

# 5 Conclusion

 

In this paper, we propose an end-to-end cylindrical convolution network for dense top-view semantic segmentation with LiDAR data only. We use cylindrical LiDAR representation and 3D CNNs to extract semantic and spatial information, which can effectively preserve more 3D connections and deal with the sparse  $623$ density of point clouds. Moreover, we introduce an efficient cylinder-to-BEV module to transform features from cylindrical representation to BEV map and <sup>625</sup> provide guidance for the proposed modified U-Net based semantic segmentation <sup>626</sup> in the top-view. We perform extensive experiments and ablation studies on the  $627$ SemanticKITTI and nuScenes-LidarSeg datasets, and achieve state-of-the-art <sup>628</sup> performance.

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 to bottom in each column, we display the input point cloud, the ground truth, the  $043$  prediction from our method, respectively.

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# 2 Addition Visual Results on SemanticKITTI

 

 

Here we show two groups of comparisons with the results for Bieder *et al.* [2],  $_{047}$ PillarSeg [3], MASS [4] and our method on SemanticKITTI. For a fair comparison, the unobservable regions in our predictions are also filtered out using the  $_{049}$  observability map as in [2].

As shown in Fig 2 and Fig 3, our method is able to produce very similar  $_{051}$ results to the ground truth for challenging urban scenes. Compared with other  $_{\rm 052}$ methods, our method achieves a higher level of accuracy, especially for the pre- $_{053}$  diction of small volume objects.



 Fig. 2. Qualitative results generated on the SemanticKITTI validation set. From top to bottom in each column, we display the input point cloud, the 2D occupancy map, the ground truth, the prediction from Bieder et al. [2], PillarSeg [3] and our method, respectively. The unobserved areas were erased using the observability map as in [2]

 

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